

#### ▲ About Us



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# **About Fireblocks**

Fireblocks powers companies of all sizes to confidently build, run, and grow their business on the blockchain.

\$10T+

300M+
Wallets Created

100+
Blockchains

2,000+
Global Customers



































#### **Reminder: The Mutual Exclusion Problem**

#### Given N concurrent threads and a critical section C:

- Safety Property at most one thread inside C
- Liveness Property one of the following:

Stronger

No Deadlocks

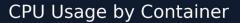
No Starvation / Basic Fairness

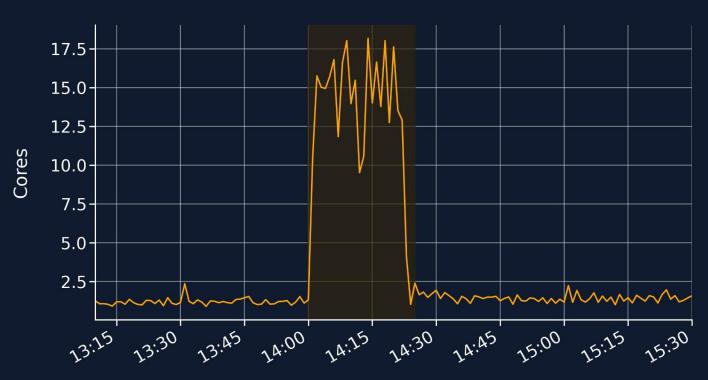
**Bounded Wait / Strong Fairness** 

#### C++ has a Built-in Solution for the MEP

```
static std::mutex transporter_lock;
static Engineer scotty;
void beam_up_crew(const std::string& crew_member)
    std::lock_guard lg { transporter_lock };
    scotty.beam_me_up(crew_member);
    std::cout << crew_member</pre>
              << " back on the Enterprise!"
              << std::endl;
```

## It Worked, Until it didn't...





### Software Guard eXtensions from 10,000 feet

Kernel

Userspace

**Encrypted Memory** 

Untrusted Host

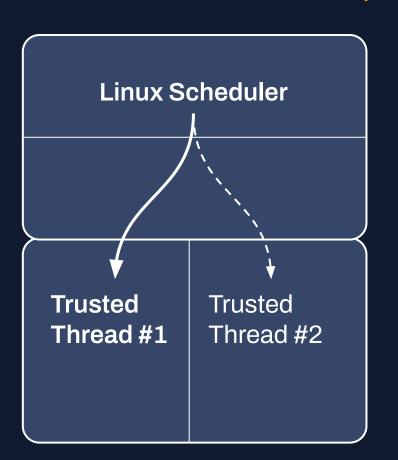
Trusted Enclave

#### Software Guard eXtensions from 10,000 feet

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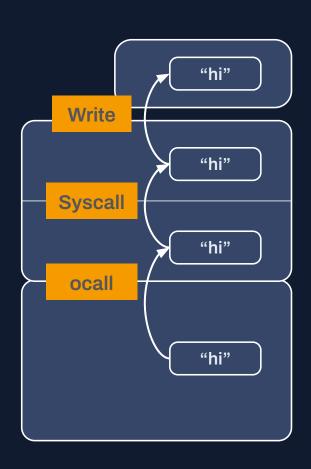


### Software Guard eXtensions from 10,000 feet

Kernel

Userspace

**Encrypted Memory** 



service.log

**Untrusted Host** 

Trusted Enclave

### Sources of Mutual Exclusion for Applications

- Hardware support
- Operating system support
- Pure software algorithms (not practical)

#### Mutual Exclusion with Hardware Support

```
std::atomic_flag is_locked = ATOMIC_FLAG_INIT;
void lock() {
    while (is_locked.test_and_set(std::memory_order_acquire))
        // Spin!
void unlock() {
    is_locked.clear(std::memory_order_release);
```

#### Mutual Exclusion with Hardware Support

- Safe and deadlock-free
- All state is inside the enclave
- Can be good under low contention

- x Starvation
- x Spinning wastes CPU
- Atomic access has overhead
- x Breakdown under preemptive multitasking

#### Mutual Exclusion with OS Support (Linux)

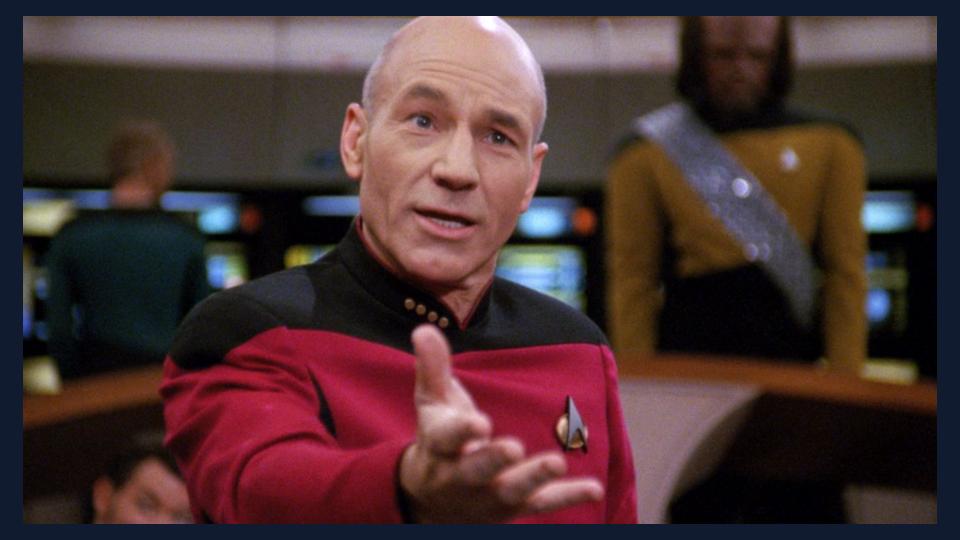
```
constexpr uint32_t UNLOCKED = 0;
constexpr uint32_t LOCKED = 1;
alignas(4) uint32_t is_locked = UNLOCKED;
std::atomic_ref<uint32_t> is_locked_ref { is_locked };
void lock() {
    while (is_locked_ref.exchange(LOCKED, std::memory_order_acquire)
           != UNLOCKED) {
        syscall(SYS_futex, &is_locked, FUTEX_WAIT_PRIVATE, LOCKED, NULL);
void unlock() {
    is_locked_ref.store(UNLOCKED, std::memory_order_release);
    syscall(SYS_futex, &is_locked, FUTEX_WAKE_PRIVATE, 1); // Wake one
```

#### A Balanced Approach

- We can mix the approaches
- Separate managing the lock state from thread control
- The "Parking Lot" design pattern

#### What we had

```
int sgx_thread_mutex_lock(sgx_thread_mutex_t *mutex) {
          while
              SPIN_LOCK(&mutex->m_lock);
                 (/*mutex is available*/) {
                  mutex->m_owner = self;
Critical Section
                  SPIN_UNLOCK(&mutex->m_lock);
Control
                  return 0:
              QUEUE_INSERT_TAIL(&mutex->m_queue, self);
              SPIN_UNLOCK(&mutex->m_lock);
Thread Control
              sgx_thread_wait_untrusted_event_ocall(self);
```



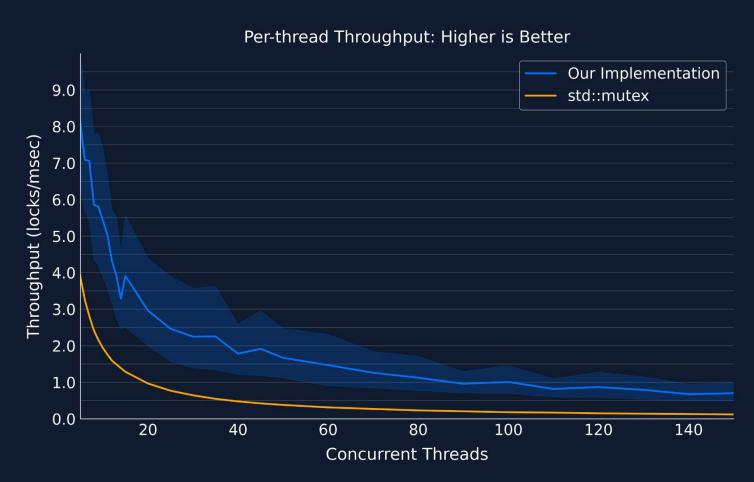
#### A First Improvement

- We can eliminate the inner spinlock by placing the state in a single trusted atomic variable
- But it doesn't mean that we shouldn't spin at all!
- Adaptive Locking: Try to set the lock state N times before asking the operating system to suspend the thread

#### ■ Is Fairness Good?

- Satisfying a strong liveness property is a trade-off
- Strong fairness reduces variance of time for entering the critical section, at the cost of both throughput and average latency
- Most platforms do not have a fairness guarantee by default

#### ■ What we Got



#### Should I do that too?

- "Locking isn't slow, contention is"
- Primary effort should be directed at architecture choices that reduce contention
- Understand the guarantees that your specific platform is giving, and compare them to your workloads
- "Insufficient facts always invite danger"



# Thank you!

