


Correctly Calculating  
min, max, and More

**What Can Go Wrong?**

Walter E. Brown, Ph.D.


< webrown.cpp @ gmail.com >



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A little about me

- B.A. (math's); M.S., Ph.D. (computer science).
- Professional programmer for over 50 years, programming in C++ since 1982.
- Experienced in industry, academia, consulting, and research:
  - Founded a Computer Science Dept.; served as Professor and Dept. Head; taught and mentored at all levels.
  - Managed and mentored the programming staff for a reseller.
  - Lectured internationally as a software consultant and commercial trainer.
  - Retired from the Scientific Computing Division at Fermilab, specializing in C++ programming and in-house consulting.
- **Not dead — still doing training & consulting. (Email me!)**




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Emeritus participant in C++ standardization

- Written ~175 papers for WG21, proposing such now-standard C++ library features as `gcd/lcm`, `cbegin/cend`, `common type`, and `void_t`, as well as all of headers `<random>` and `<ratio>`.
- Influenced such core language features as *alias templates*, *contextual conversions*, and *variable templates*; recently worked on *requires-expressions*, `operator<=>`, and more!
- Conceived and served as Project Editor for *Int'l Standard on Mathematical Special Functions in C++* (ISO/IEC 29124), now incorporated into `<cmath>`.

Be forewarned: Based on my training and experience, I hold some rather strong opinions about computer software and programming methodology — these opinions are not shared by all programmers, but they should be! 😊



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**The Big Picture**

*"The study of error ... serves as a stimulating introduction to the study of truth."*

— Walter Lippmann


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About this talk

- The C++ standard library long ago selected `operator <` as its ordering primitive, and even spells it in several different ways (e.g., `std::less`).
- Today, we will first illustrate why `operator <` must be used with care, in even seemingly simple algorithms such as `max` and `min`.
- Then we will discuss the use of `operator <` in other order-related algorithms, showing how easy it is to make mistakes when using the `operator <` primitive directly, no matter how it's spelled.
- Along the way, we will also present a straightforward technique to help us avoid such mistakes.

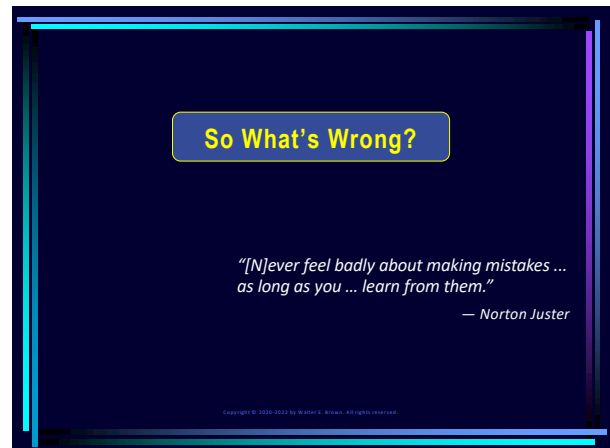
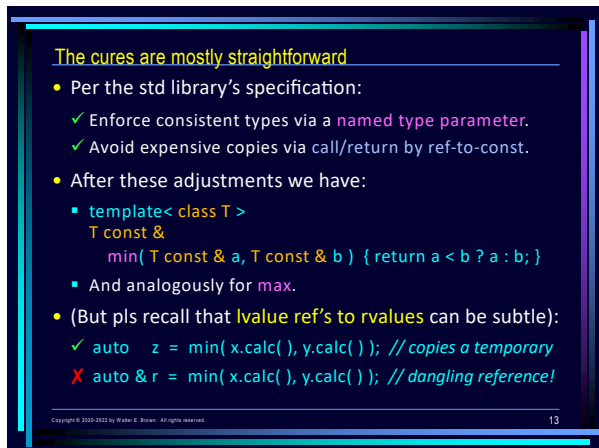
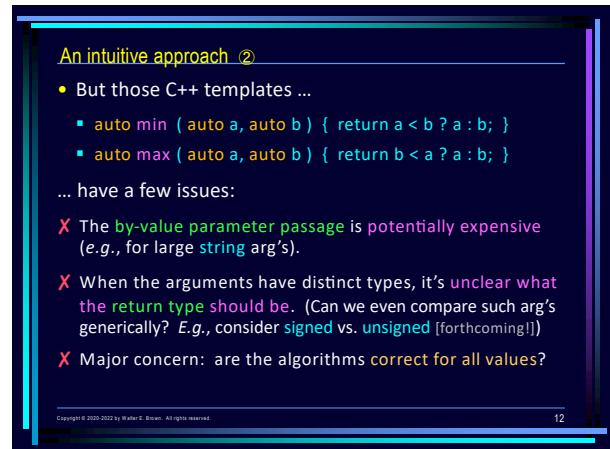
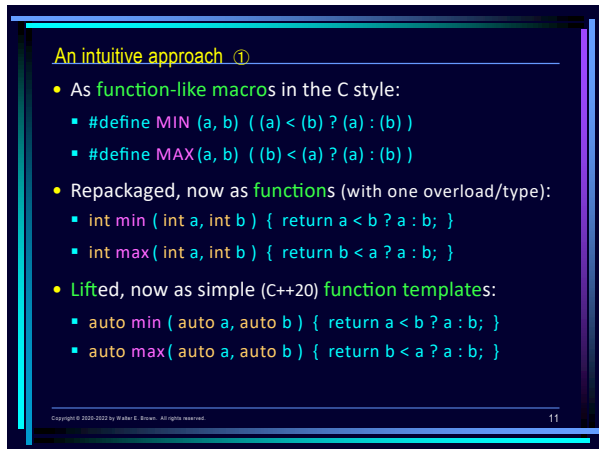
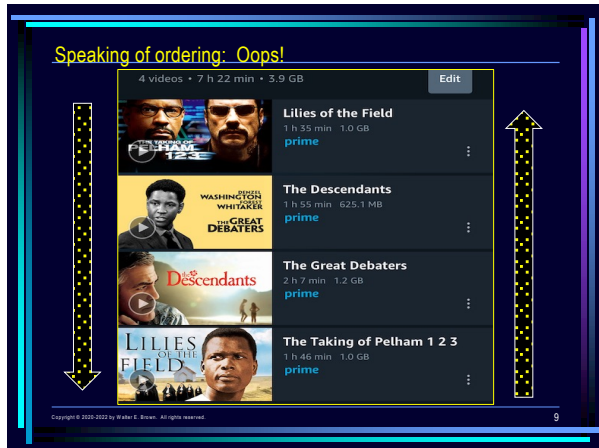
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*"One of the amazing things which we ... discover is that ordering is very important. Things which we could do with ordering cannot be effectively done just with equality."*



— Alexander Stepanov  
(né Александр Степанов)

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Alas, none of the code I've shown so far is quite right!

- Can you identify the misbehavior(s)?
  - template< class T >  
T const &  
min ( T const & a, T const & b ) { return a < b ? a : b; }
  - template< class T >  
T const &  
max( T const & a, T const & b ) { return b < a ? a : b; }
- Did you notice that each returns **b** when  $a == b$ ?
  - Why should **max** and **min** of the same two arguments ever give the same result?
  - Yet the C++ standard library does this. ("It took Stepanov 15 years to get **min** and **max** right.")

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To be specific, ...

- ... these algorithms mishandle the case of  $a == b$ !
  - "[At] CppCon 2014, Committee member Walter Brown mentioned that `[std::] max` returns the wrong value [when] both arguments have an equal value. ...
  - "Why should it matter which value is returned?"
- Many programmers have made similar observations:
  - That equal values are indistinguishable, so ...
  - It ought not matter which is returned, so ...
  - This is an uninteresting case, not worth discussing.
- Alas, for **min** and **max** (and related) algorithms, such opinions are superficial and incorrect!

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Alex Stepanov speaks candidly of his mistake [2013]

"What person  
 So far as long as  
 could one be?  
 C++ stands, my  
 And you will say,  
 matter were  
 speed makes  
 publicly visible  
 working on all  
 these things,  
 and writing **min**  
 Oh, no. People  
 in the most  
 generic way  
 for centuries  
 and then he  
 because that's  
 writes **max** and  
 the **max** in the  
 he screws it up  
 standard library!"



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Many types do distinguish equal values

- Bare-bones example:
 

```
struct student {
    string name; int id;
    inline static int registrar = 0;
    S( string n ) : name( n ), id{ registrar++ } { } // c'tor
    bool
    operator < ( student s )
    { return name < s.name; } // id is not salient
};
```
- Since each **student** variable has a unique **id** number:
  - Even equal values are distinguishable, so ...
  - It can matter greatly which one is returned by **min/max**!

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How Do We Address This?

"[O]nly wise men learn from their mistakes."  
 — Winston Churchill

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A mathematics perspective

- A **monotonically increasing** sequence is sorted:
  - But **not conversely**!
  - Counterexample: a sequence of identical values is sorted, but is certainly **not** monotonically increasing.
- Instead, we must say:
  - That a sequence is sorted iff it is **non-decreasing**.
  - This allows us to have equal items in a sorted sequence.
- C++ embraces this viewpoint (see `[alg.sorting.general]/5`):
  - A seq. is **sorted** if, for every iterator **i** and non-negative integer **n**, `*(i + n) < *i` is **false** [i.e., not out of order].

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**An important insight**

- Given two values **a** and **b**, in that order:
  - Unless we find a **reason to the contrary**, ...
  - min** should **prefer to return a**, and ...
  - max** should **prefer to return b**.
- I.e.*, never should **max** and **min** return the same item:
  - When values **a** and **b** are **in order**, **min** should return **a** / **max** should return **b**; and ...
  - When values **a** and **b** are **out of order**, **min** should return **b** / **max** should return **a**.

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**Even more succinctly stated**

- We should always prefer algorithmic **stability** ...
  - ... especially when it **costs nothing** to provide it!
- Recall what we mean by stability:
  - An algorithm dealing with items' order is **stable** ...
  - If it **keeps the original order of equal items**.
- I.e.*, a stable algorithm ensures that:
  - For all pairs of equal items **a** and **b**, ...
  - a** will precede **b** in its **output** ...
  - Whenever **a** preceded **b** in its **input**.

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**Therefore, I recommend ...**

- For **min**:
  - ... { return **out\_of\_order**(a, b) ? b : a; } // in order ? a : b
- For **max**: "Is there a reason to do otherwise?"
  - ... { return **out\_of\_order**(a, b) ? a : b; } // in order ? b : a
- Where:
  - inline bool **out\_of\_order**(... x, ... y) { return y < x; } // !!!
  - inline bool **in\_order**(... x, ... y) { return not out\_of\_order(x, y); }
  - (FWIW, I find **out\_of\_order** to be the more useful.)

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**These Ideas Are Broadly Applicable**

*"A theory is the more impressive the greater the simplicity of its premises ... and the more extended its area of applicability."*

— Albert Einstein

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**Analogous logic also applies elsewhere ①**

```

template< class T >
T const & // since C++17
clamp( T const & v, T const & lo, T const & hi )
{ // precondition: in_order(lo, hi)
  return out_of_order(lo, v) ? lo
    : out_of_order(v, hi) ? hi
    : v;
}
    
```

"Prefer to return the supplied value v; need a reason to return either lo or hi."

- Contrast with this body, taken from a very recent blog:
  - { return (v > lo) ? lo : (hi < v) ? hi : v; } // is this correct?
  - This is an error (since corrected!) that commonly arises when comparisons are inconsistent.

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**Analogous logic also applies elsewhere ②**

```

template< input_iterator In, output_iterator<In> Out >
Out merge( In b1, In e1 // 1st sorted input sequence
          , In b2, In e2 // 2nd sorted input sequence
          , Out to ) { // merged destination
  for( ; ; ++to )
    if ( b2 == e2 ) return copy( b1, e1, to );
    else if ( b1 == e1 ) return copy( b2, e2, to );
    else // assert: neither sequence is empty
      *to = out_of_order(*b1, *b2) ? *b2++
        : *b1++;
}
    
```

"Prefer to take from the 1st sequence; need a reason to take from the 2nd."

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Analogous logic also applies elsewhere ③

```

template< swappable T >
void sort2( T & a, T & b ) {
    if( out_of_order(a, b) )
        swap(a, b);
} // postcondition: in_order(a, b)

template< swappable T > // C++20
void sort3( T & a, T & b, T & c ) {
    if( sort2(a, b); in_order(b, c) ) return;
    if( swap(b, c); in_order(a, b) ) return;
    swap(a, b);
}
    
```

if( in\_order(a, b) ) return; swap(a, b);

(BTW, did you recognize bubble sort?)

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Algorithm logic from stackoverflow — is this correct?

```

template< class T >
void sort3( T & a, T & b, T & c ) {
    if( a < b ) {
        if( b < c ) return;
        else if( a < c ) swap(b, c);
        else { /* rotate right into order c, a, b */ }
    }
    else {
        if( a < c ) swap(a, b);
        else if( c < b ) swap(a, c);
        else { /* rotate left into order b, c, a */ }
    }
}
    
```

Algorithm does more work than necessary: operator < is no substitute for in\_order!

Algorithm isn't stable: operator < is no substitute for in\_order!

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Our main takeaways so far

By itself, operator < is not sufficient to decide whether its operands are in order.

By itself, operator < is sufficient to decide only whether its reversed operands are out of order.

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**operator< Is Spelled Other Ways, Too**

*“Sameness is tiresome; variety is pleasing.”*  
— Mark Twain

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Many std algorithms don't use operator < per se

- Standard library algorithms often specify an overload with an extra parameter, comp, such that:
  - comp(x, y) is called to decide ordering in lieu of x < y.
- Example:
 

```

template< class Fwd >
constexpr Fwd
is_sorted_until( Fwd first, Fwd last ); // uses operator <

template< class Fwd, class Compare >
constexpr Fwd
is_sorted_until( Fwd first, Fwd last, Compare comp );
// calls comp in place of operator <
            
```

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About the is\_sorted\_until algorithm

- “Returns: The last iterator i in [first, last] for which the range [first, i) is sorted.... Complexity: Linear.”
  - i.e., i induces adj. partitions [first, i) and [i, last) where ...
  - The former is known to be sorted and of maximal length.
- Equivalently (but better for algorithmic thinking), without i :
  - Treat [first, first) as a partition that's known to be sorted, with an adjoining partition [first, last) in unknown order.
  - Iteratively advance first so long as \*first is in sorted order with respect to its immediate predecessor (say, \*prev).
  - By construction, sorted partition [first, first) has maximal length, so we return first (for even empty or singleton ranges).

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My earliest operator < implementation [edited for exposition]

- template< class Fwd > *// forward iterator*  
constexpr Fwd  
is\_sorted\_until( Fwd first, Fwd last )  
{  
  if( first != last )  
    *// init/reinit loop as if by prev = first++ :*  
    for( Fwd prev = first; ++first != last; prev = first )  
      if( \*first < \*prev ) *// in order? out of order?*  
        break;  
  return first;  
}

Tip: prefer pre-increment;  
need a reason to use post-increment.  
(Ditto for pre- vs post-decrement.)

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Nowadays, I prefer and recommend ...

- ... to use a named order predicate.
- template< class Fwd >  
constexpr Fwd  
is\_sorted\_until( Fwd first, Fwd last )  
{  
  #define out\_of\_order( x, y ) ( \*(y) < \*(x) )  
  if( first != last )  
    for( Fwd prev = first; ++first != last; prev = first )  
      if( out\_of\_order( prev, first ) )  
        break;  
  return first;  
}

Tip: Pass the iterators  
(which are typically cheap to copy)  
rather than the dereferenced values  
(which may be not even copyable)!

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[alg.sorting.general]/2-3 [rearranged]

- “[The declaration] `Compare comp` is used throughout [as a parameter that denotes] an ordering relation.”
  - “`Compare` is a function object type [whose] call operation ... yields `true` if the first argument of the call is less than the second, and `false` otherwise.”
  - “... `comp` [induces] a `strict weak ordering` on the values.”
  - “For all algorithms that take `Compare`, there is a version that uses `operator <` instead.”
- IMO, the names `comp` and `Compare` are too general:
  - I'd prefer, e.g., `s/comp/less than/` or `s/comp/lt/` or `s/comp/precedes/` or `s/comp/before/`.

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Even when we have an explicit less-than predicate ...

- ... I still recommend adapting it via an order predicate.
- template< class Fwd, class Compare >  
constexpr Fwd  
is\_sorted\_until( Fwd first, Fwd last, Compare precedes )  
{  
  auto iter\_out\_of\_order *// could be named indirect out of order*  
  = [=] ( Fwd x, Fwd y ) { return precedes(\*y, \*x); };  
  if( first != last )  
    for( Fwd prev = first; ++first != last; prev = first )  
      if( iter\_out\_of\_order( prev, first ) )  
        break;  
  return first;  
}

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Or we can avoid overloading ...

- ... via a single template that has judicious default args:
  - template< class Fwd, class Compare = std::ranges::less >  
constexpr Fwd  
is\_sorted\_until( Fwd first, Fwd last, Compare lt = {} )  
{  
  : *// unchanged*  
}
- Q1: What, exactly, is `std::ranges::less`?
- Q2: Do we need both a default function argument and a default template argument?

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Q1: What's `std::ranges::less`?

- It's a class declared in `<functional>`:
  - struct less { *// simplified for exposition*  
  template< class T, class U >  
  constexpr bool  
  operator () ( T && t, U && u ) const  
  { return t < u; } *// note: heterogeneous comparison*  
};
  - A variable of type `less` is a function object, as it's callable via its `operator ()` member template.
- (There's also `std::less`, a template whose `operator ()` is strictly homogeneous [more later]. Many/most today seem to prefer the design of `std::ranges::less`.)

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**Q2: Do algorithms need both default argument kinds?**

- Let's review the algorithm's decl., then consider a call:
  - `template< class Fwd, class Compare = std::ranges::less > constexpr Fwd is_sorted_until( Fwd first, Fwd last, Compare It = {} );`
  - `int a[N] = { ... }; ... is_sorted_until( a+0, a+N ) ... // what type is Fwd?`
  - Fwd is inferred as `int*`. Now: what type is `Compare`?
- It's `std::ranges::less`, per the default template arg:
  - A type is never inferred from any default function arg.
  - Enables calling code to default-construct a 3<sup>rd</sup> argument, in this case `std::ranges::less{}`.

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**Q3: Why doesn't the std library use such default arg's?**

- In brief, because it's prohibited (unless thusly specified):
  - "An implementation shall not declare a non-member function signature with additional default arguments." (See [global.functions]/3.)
- Why not consolidate? Because doing so is problematic:
  - "The difference between two overloaded functions and one function with a default argument can be observed by taking a pointer to function." (See N1070, 1997.)
  - Further, consider a call supplying a type but not a value:
 

```
template< class T = int > void g( T x = {} ) { ... }
:
g<MyType>( ); // what if MyType isn't default-constructible?
```

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**std Disguises for operator<**

*"Everybody's wearing a disguise...."*  
— Bob Dylan

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**How many ways can std design and spell operator < ?**

Name	Where found	Since	Arg. types
class template <code>less</code>	<functional>	C++98	T, T
specialization <code>less&lt;void&gt;</code>	<functional>	C++14	T, U
class <code>ranges::less</code>	<functional>	C++20	T, U
function template <code>cmp_less</code>	<utility> (why?)	C++20	integer I, J
overload set <code>isless</code>	<cmath>	C++11	arith A, B
specification <code>totalOrder</code>	IEEE 754; in spec of <compare>'s <code>strong_order</code>	2008; C++20	flt-pt F, F

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**My version of `std::ranges::less`** [edited for exposition]

```
struct less
{
    template< class L, class R >
    constexpr bool
    operator() ( L && left, R && right ) const noexcept(...)
    {
        if constexpr( are_std_integer_types<L, R> )
            return cmp_less( left, right ); // forthcoming
        else if constexpr( are_std_arithmetic_types<L, R> )
            return isless( left, right ); // forthcoming
        else
            return forward<L>(left) < forward<R>(right);
    }
};
```

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**My version of `std::cmp_less`** [edited for exposition]

```
template< std_integer_type L, std_integer_type R >
constexpr bool
cmp_less( L left, R right ) noexcept
{
    if constexpr( same_signedness_types<L, R> )
        return left < right; // safely converts lesser to greater rank
    else // mixed signedness
        if constexpr( signed_type<L> ) // and unsigned type<R>
            return left < 0 ? true : as_unsigned(left) < right;
        else // unsigned type<L> and signed type<R>
            return right < 0 ? false : left < as_unsigned(right);
}
```

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My version of std::isless [edited for exposition]

- ```

template< std::arithmetic_type L, std::arithmetic_type R >
constexpr bool
    isless( L left, R right ) noexcept           // not an overload set
{
    using fl_t = common_floating_point_t<L, R>;
    fl_t x = left
        , y = right;
    return isunordered(x, y) ? false           // avoid FE_INVALID
        : x < y;
}
            
```

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The ordering specified by IEEE's totalOrder predicate

- Most- to least-negative, then least- to most-positive.
- i.e.*, first all negative values, in the following order:
  - All negative quiet NaNs, then all negative signaling NaNs, each ordered per their payload bits.
  - Then negative **infinity**, then all negative **normalized and denormal numbers** in value order, then negative zero.
- Then all positive values, in the opposite order:
  - Positive zero, then all positive **denormal and normalized numbers** in value order, then positive **infinity**.
  - All positive signaling NaNs, then all positive quiet NaNs, each ordered per their payload bits.

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Now consider IEEE's floating-point layout in that light

- Relative to trad. scientific notation  $\pm d... \times 10^{\pm e...}$ , IEEE decomposes/rebases/reorders/adjusts its parts:

← 32 Bits →

|           |            |             |
|-----------|------------|-------------|
| Sign      | Exponent   | Mantissa    |
| ← 1 Bit → | ← 8 Bits → | ← 23 Bits → |

What if we treated these bits as a 32|64|128-bit int?

← 64 Bits →

|           |             |             |
|-----------|-------------|-------------|
| Sign      | Exponent    | Mantissa    |
| ← 1 Bit → | ← 11 Bits → | ← 52 Bits → |

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My version of IEEE's totalOrder [edited for exposition]

- ```

template< floating_point_type F >           // assumes IEEE rep's
constexpr bool
    total_order( F left, F right ) noexcept // 2008-2018 signature
{
    if( signbit(left) != signbit(right) ) // one is negative, one is not
        return signbit(left);
    else { // same signs
        using int_t = big_enough_type< sizeof(F)
            , int32_t, int64_t, int128_t >;
        int_t x = bit_cast< int_t >( left )
            , y = bit_cast< int_t >( right );
        return signbit(x) ? in_order(y, x) // both are negative
            : in_order(x, y); // neither is negative
    }
}
            
```

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And one more: lexicographical compare! [edited for exposition]

- ```

template< input_iterator In1, input_iterator In2 >
constexpr bool
    lexicographical_compare( In1 first1, In1 last1
                            , In2 first2, In2 last2
                            )
{
    for( ; first2 != last2; ++first1, (void)++first2 )
        if( first1 == last1 ) return true;
        else if( iter_out_of_order(first1, first2) ) return false;
        else if( iter_out_of_order(first2, first1) ) return true;
    return false;
}
            
```

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**There's More to Comparing Than Comparing Correctly**

*"Comparison is the thief of joy."*  
 — Theodore Roosevelt

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E.g., std::cmp\_less isn't always the answer [courtesy R. Seacord]

- Do you see the potential **security risk** in this seemingly-innocent code? (Hint: possible buffer overrun!)

```

char *
char_array_copy( size_t n, char const * a ) // lightly edited
{
    char * p = n == 0 ? NULL
                : (char *) malloc( n );
    if( p != NULL )
        for( auto k = 0; k < n; ++k )
            p[k] = *a++;
    return p;
}
    
```

Need `cmp_less(k, n)` here, but there's a deeper issue!  
 Consider the range of possible values of `k`, vis-à-vis the range of possible values of `n`.

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Case 1: when sizeof(k) == sizeof(n) [lightly edited]

- "The **unsigned** `n` may contain a value greater than `INT_MAX` [an `int`'s maximum value]:
  - "Assuming quiet wraparound on signed overflow [which is a common manifestation of this undefined behavior]..."
  - "Once [the `int`] `k` is incremented beyond `INT_MAX`, `k` takes on negative values starting with `INT_MIN`."
  - "Consequently, the memory locations referenced by `p[k]` precede the memory referenced by `p`, and a write outside array bounds occurs."

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Case 2: when sizeof(k) < sizeof(n) [lightly edited]

- "For values of `n` where  $(\text{size\_t})\text{INT\_MIN} < n \leq \text{SIZE\_MAX}$ ,
  - "`k` wraps and takes the values `INT_MIN` to `INT_MIN + (n - (\text{size\_t})\text{INT\_MIN} - 1)`."
  - "Execution of the loop overwrites memory from `p[\text{INT\_MIN}]` through `p[\text{INT\_MIN} + (n - (\text{size\_t})\text{INT\_MIN} - 1)]`."

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Advice re comparisons

- Do you have a 100%, iron-clad guarantee that the `code` will **never** be recompiled:
  - With any other compiler/library? Or ...
  - With any other version of your compiler/library? Or ...
  - For any other hardware/software platform?
- If not, I respectfully but strongly recommend that we improve our code's portability by:
  - Avoiding mixed-signedness comparisons.
  - Preferring same-type comparisons (and arithmetic, too).
  - Planning for same types even before starting to code.

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E.g., in the function we last examined ...

- ... I recommend the code use same-type comparisons:
 


```

char *
char_array_copy( size_t n, char const * a )
{
    char * p = n == 0uz ? nullptr
                : (char *) malloc( n );
    if( p != nullptr )
        for( size_t k = 0uz; k != n; ++k )
            p[k] = *a++;
    return p;
}
            
```

  - Why? Same-type!
  - Why? Same-type! (C++20)
  - Why? Correct post-condition!

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When we don't use same types ...



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Not an allegory: using same types is important

- “On June 4, 1996 an unmanned Ariane 5 rocket ... exploded just forty seconds after its lift-off....
  - “The destroyed rocket and its cargo were valued at \$500 million....
  - “It turned out that the cause of the failure was ... a 64 bit floating point number [that] was converted to a 16 bit signed integer.
  - “The number was larger than 32,767, the largest integer storable [s/c] in a 16 bit signed integer, and thus the conversion failed.”

— Douglas N. Arnold

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**A Bonus Algorithm**

*“The true delight is in the finding out, rather than in the knowing.”*

— Isaac Asimov

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Sometimes we need both extrema

- We could reuse `min` and `max`:
 

```
template< class T >
pair<T const &, T const & >
  minmax( T const & a, T const & b )
{
  return { min(a, b), max(a, b) };
}
```
- But it's cheaper to make one call to `operator <` than the two made within separate calls to `min` and to `max`:
 

```
if( out_of_order(a, b) ) return { b, a };
else return { a, b };
```

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Finally, let's consider minmax of a sequence

- Found in the `<algorithm>` header:
 

```
template< forward_iterator Fwd >
pair<Fwd, Fwd>
  minmax_element( Fwd first, Fwd last );
```

  - We want a pair `{m, M}`, iterators in `[first, last)`, such that:
    - `m` is the first iterator whose `*m` is smallest, while ...
    - `M` is the last iterator whose `*M` is largest.
- Let `N` denote `distance(first, last)`:
  - Separate calls to `min` then `max` functions would lead to  $O(N + N = 2N)$  calls to `out_of_order`.
  - But Ira Pohl's 1972 algorithm needs only  $O(\frac{3}{2}N)$  calls!

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Infrastructure for Ira Pohl's algorithm

- Given fwd iterators `f1, f2`, we'll use `iter` versions of:
  - `precedes(f1, f2)`, returning `*f1 < *f2` (or returning `lt(*f1, *f2)` when there's a `Compare lt`).
  - `out_of_order(f1, f2)`, returning `precedes(f2, f1)`.
  - `min(f1, f2) / max(f1, f2)`, each calling `out_of_order(f1, f2)`.
- Let `mM` denote an in order `std::pair` of iterators, then:
  - `pair_up(f1, f2)` makes such an in order `mM` pair, returning `out_of_order(f1, f2) ? mM{ f2, f1 } : mM{ f1, f2 }`.
  - `meld(p, q)` combines two `mM` pairs into one, returning `mM{ min(p.first, q.first), max(p.second, q.second) }`.

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The logic of Pohl's algorithm [C++20]

- Of 11 lines, 5 initialize and 4 terminate the algorithm:
 

```
using mM = std::pair<Fwd, Fwd>; // in order(first, second)
Fwd prev = first;
if( prev == last or ++first == last ) // empty? singleton?
  return mM{prev, prev};
for( mM so_far = pair_up(prev, first); ; ) // form initial pair
  if( ++first == last ) // nothing more to process?
    return so_far;
  else if( prev = first; ++first == last ) // final singleton?
    return meld( so_far, mM{prev, prev} );
  else // general case: meld result so far w/ current new pair
    so_far = meld( so_far, pair_up(prev, first) );
```

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Correctly Calculating  
min, max, and More

←-----→

FIN

Walter E. Brown, Ph.D.

< webrown.cpp @ gmail.com >

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